

FORM PTO-1449, Adopted

MAY 14 2003

## LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

APP. DOCKET NO. 05456.105006	SERIAL NO. 09/844,447	FILING DATE April 27, 2001
APPLICANT Farley et al.		GROUP <del>2132</del> 2132

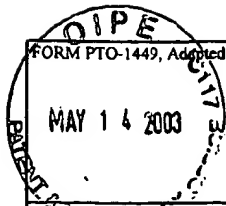
## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
GB	AA	5,345,595	09/06/94	Johnson et al.			
GB	AB	6,266,774	07/24/01	Sampath et al.			RECEIVED
GB	AC	6,026,442	02/15/00	Lewis et al.			MAY 15 2003
GB	AD	6,016,553	01/18/00	Schneider et al.			Technology Center 2100
GB	AE	6,014,645	01/11/00	Cunningham			
GB	AF	5,881,236	03/09/99	Dickey			
GB	AG	5,764,890	06/09/98	Glasser et al.			
GB	AH	5,630,061	05/13/97	Richter et al.			
GB	AI	5,414,833	05/09/95	Hershey et al.			
GB	AJ	4,819,234	04/04/89	Huber			
GB	AK	6,275,938	08/14/01	Bond et al.			
GB	AL	5,950,012	09/07/99	Shiell et al.			
GB	AM	5,278,901	01/11/94	Shieh et al.			
GB	AN	6,405,318	06/11/02	Rowland			
GB	AO	5,475,839	12/12/95	Watson et al.			
GB	AP	5,590,331	12/31/96	Lewis et al.			
GB	AQ	5,761,504	06/02/98	Corrigan et al.			
	AR						

EXAMINER Gurshman	DATE CONSIDERED 10/05/04
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105006	09/844,447	April 27, 2001
APPLICANT		GROUP
Farley et al.		2132

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
						YES	NO
	BA	WO 01/84285	11/08/01	PCT	Internet Security Systems, Inc.		
66	BB	WO 02/06928	01/24/02	PCT	vCIS, Inc.		
	BC	WO 00/54458	09/14/00	PCT	Psionic Software, Inc.		
	BD	WO 02/056152	07/18/02	PCT	Psionic Software, Inc.		
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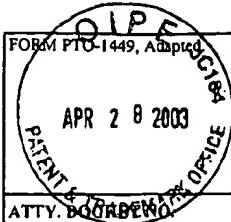
## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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BS	
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EXAMINER	DATE CONSIDERED
Queshman	11/05/04

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05456.105006		09/844,447	April 27, 2001				
APPLICANT			GROUP				
Farley et al.			2131 2132				
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,857,191	01/05/99	Blackwell, Jr. et al.			
	AB	6,035,423	03/07/00	Hodges et al.			
	AC	5,586,260	12/17/96	Hu			
	AD	5,623,601	04/22/97	Vu			
	AE	5,764,887	06/09/98	Kells et al.			
	AF	5,787,177	07/28/98	Leppek			
	AG	5,796,942	08/18/98	Esbensen			
	AH	5,798,706	08/25/98	Kraemer et al.			
	AI	5,815,574	09/29/98	Fortinsky			
	AJ	5,828,833	10/27/98	Belville et al.			
	AK	5,832,208	11/03/98	Chen et al.			
	AL	5,832,211	11/03/98	Blakley, III et al.			
	AM	5,838,903	11/17/98	Blakely, III et al.			
	AN	5,864,665	01/26/99	Tran			
	AO	5,875,296	02/23/99	Shi et al.			
	AP	5,983,270	11/09/99	Abraham et al.			
	AQ	5,983,348	11/09/99	Ji			
	AR	5,987,606	11/16/99	Cirasole et al.			
	AS	5,987,611	11/16/99	Freund			
	AT	6,088,803	07/11/00	Tso et al.			
	AU	6,098,173	08/01/00	Elgressv et al.			

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10/05/04



FORM PTO-1449, Adapted

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ATTY. DOCKET NO. <b>05456.105006</b>	SERIAL NO. <b>09/844,447</b>	FILING DATE <b>April 27, 2001</b>
APPLICANT <b>Timothy P. Farley et al.</b>		GROUP <b>2132</b>

**RECEIVED**  
**FEB 12 2003**  
Technology Center 2100**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,088,804		Hill et al.			
	AB						
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	AI						
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**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.	
AL						
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AO						

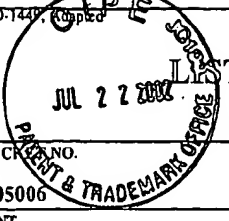
**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AP	Essex, David, <i>E-Sleuths Make Net Safe for E-Commerce</i> , <i>ComputerWorld</i> , June 2000, see pg. 1-2.
	AR	Newman, David, <i>Intrusion Detection Systems</i> , <i>Data Communications</i> , 1998, see pg. 1-9.
	AS	International Search Report dated December 31, 2002 for PCT/US02/17161
	AT	

EXAMINER DATE CONSIDERED		4/05/04
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FORM PTO-1447, Revised							
<div style="text-align: center;">  <b>LIST OF INFORMATION DISCLOSED BY APPLICANT</b>  <i>(Use several sheets if necessary)</i> </div>							
ATTY. Docket NO.		SERIAL NO.		FILING DATE			
05456.105006		09/844,447		April 27, 2001			
APPLICANT				GROUP			
Internet Security Systems, Inc.				2131 2132			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
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	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
						YES	NO
	AL	EP 0 985 995 A1	03/15/01	EPO	International Business Machines Corp.		
	AM						
	AN						
	AO						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AP	Jagannathan et al., "System Design Document: Next-Generation Intrusion Detection Expert System (NIDES)," Internet Citation, 09 March 1993, #XP002136082, pp. 1-66.					
	AR	Juanita Koilpillai, "Adaptive Network Security Management," DARPA NGI PI Conference, October 26-29, 1998, pp. 1-27.					
	AS						
	AT						
EXAMINER DATE CONSIDERED		11/05/04					
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FORM PTO-1449, Adapted

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ATTY. DOCKET NO. 05456.105006	SERIAL NO. 09/844,447	FILING DATE April 27, 2001	RECEIVED JUL 27 2001
APPLICANT Timothy P. Farley et al.	GROUP <del>2131</del> 2132		
U.S. PATENT DOCUMENTS		Technology Center 2100	

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.
AL					
AM					
AN					
AO					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AP		HIVERWORLD CONTINUOUS ADAPTIVE RISK MANAGEMENT, Hiverworld, Inc., 1999-2000, pgs. 1-14
AR		
AS		
AT		

EXAMINER <i>[Signature]</i>	DATE CONSIDERED 11/05/04
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